

MAY. 23. 2007 4:26PM

NO. 6755 P. 1

Michael R. Davis
Matthew M. Jacob
Warren M. Cheek, Jr.
Nils E. Pedersen
Charles R. Watts
Michael S. Huppert
Jeffrey R. Filipak
W. Douglas Hahn

David M. Ovedovitz
Joseph M. Gorski
Jonathan R. Bowser
Jay F. Williams
Amy E. Pulliam
Kenneth Fields
Carl Pledger

OF COUNSEL:
John T. Miller

WENDEROTH, LIND & PONACK, L.L.P.

Attorneys and Counselors at Law
Patents, Trademarks and Copyrights
2033 K Street, N.W., Suite 800
Washington, D. C. 20006-1021 U.S.A.

E. F. Wenderoth (1886-1974)
John E. Lind (1892-1983)
A. Ponack (1900-1969)

TELEPHONE:
202-721-8200

FACSIMILE:
202-721-8250 (G-III)
202-833-3015 (G-IV)

E-MAIL:
wlp@wenderoth.com

INTERNET:
www.wenderoth.com

FACSIMILE COVER SHEET

*Member of Bar other than D.C.

DATE: May 23, 2007

TO: Ms. Karen McLean

Fax No.: 571-270-9873

FROM: Michael R. Davis 

Number of pages being transmitted, including this cover sheet: 4

Please direct all questions concerning the transmittal of these pages to Patricia Hill.

RE: Serial No. 10/554,204 (Masahiro GOTO et al.), filed October 24, 2005

MESSAGE:

Per your telephone request of May 22, 2007, I am attaching a copy of the Transmittal Letter filed October 24, 2005 and a copy of the postcard receipt of the same date. If you have any questions, please let me know. I can be reached at (202) 721-8202.

CONFIDENTIALITY NOTICE

This message is intended for the individual or entity to which it is addressed and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient or the agent responsible for delivering the message to the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address via the U.S. Postal Service.

PAGE 1/4 * RCVD AT 5/23/2007 3:29:07 PM [Eastern Daylight Time] * SVR:USPTO-EFXXRF-2/12 * DNIS:2709873 * CSID:202 721 8250 * DURATION (mm-ss):01-44

COPY

ATTY DOCKET #: 2005-1690A

Due Date: October 24, 2005

OUR REF: 2005-1690A/MRD/00653

Applicant: Masahiro GOTO et al.

Serial No.: NEW

Filing Date: October 24, 2005

Title: COPPER OXIDE THIN FILM LOW-FRICTION MATERIAL AND
FILM-FORMING METHOD THEREFOR

Receipt of the following papers is acknowledged:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. § 371 (National stage application of PCT/JP2004/005902)
2. Attachments: Translation of International Application comprised of 10 pages and 5 sheets of A4P drawings (Figs. 1-5), unexecuted Declaration and Power of Attorney, Information Disclosure Statement, Form PTO-1449 and 2 references, Preliminary Amendment, Forms PCT/IB/308 (2) and 311, International Search Report in Japanese and English and first two pages of published International Application (WO 2004/094687)
3. Check in the amount of \$900.00



Date October 24, 2005

Attorney MRD/pth

[Check No. 70766]

NO. 6755 P. 2
COPYFORM PTO 1190
(REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371ATTORNEY DOCKET NUMBER
2005-1690AU.S. APPLICATION NO.
(If known, see 37 CFR 1.5)
NEWInternational Application No.
PCT/JP2004/005902International Filing Date
April 23, 2004Priority Date Claimed
April 24, 2003

Title of Invention

COPPER OXIDE THIN FILM LOW-FRICTION MATERIAL AND FILM-FORMING METHOD THEREFOR

Applicant(s) For DO/EO/US
Masahiro GOTO et al.


Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation (10 pages and 5 sheets of A4P drawings for Figs. 1-5) of the International Application into English (35 U.S.C. §371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19.
9. ☒ An oath or declaration (unexecuted) of the inventor(s) (35 U.S.C. §371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☒ Other items or information: (a) Forms PCT/IB/308(2) and 311; (b) International Search Report in Japanese and English; and (c) first two pages of published International application (WO 2004/094687).

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

U.S. APPLICATION NO. (If known, see 37 CFR 1.2) NEW		INTERNATIONAL APPLICATION NO. PCT/JP2004/005902		ATTORNEY'S DOCKET NO. 2005-1690A	
15. <input checked="" type="checkbox"/> The following fees are submitted				CALCULATIONS	PTO USE ONLY
Basic National Stage Fee					
National Stage Search Fee				\$300.00	
National Stage Examination Fee				\$400.00	
Specification/drawings in excess of 100 pages (units of 50 x \$250.00) =				\$200.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(c)).				\$900.00	
				\$	
Claims	Number Filed	Number Extra	Rate		
Total Claims	9 - 20 =	0	X \$50.00	\$	
Independent Claims	2 - 3 =	0	X \$200.00	\$	
Multiple dependent claim(s) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$900.00	
<input type="checkbox"/> Small Entity Status is hereby asserted. Above fees are reduced by 1/2.				\$	
SUBTOTAL =				\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
TOTAL NATIONAL FEE =				\$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +				\$	
TOTAL FEES ENCLOSED =				\$900.00	
				Amount to be refunded	\$
				Amount to be charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$900.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. 23-0975 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
19. CORRESPONDENCE ADDRESS					
CUSTOMER NO. 000513			 Michael R. Davis, Registration No. 25,134 WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250 October 24, 2005		

[CHECK NO. _____]
[2005-1690A]